UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 29



ACME BUS CORP., BAUMANN & SONS BUSES, INC., BROOKSET BUS CORP., ALERT COACH LINES, INC., a Single Employer

and

Case Nos. 29-CA-30685

INTERNATIONAL BROTHERHOOD OF TEAMSTERS, LOCAL 1205

COMPLAINT AND NOTICE OF HEARING

International Brotherhood of Teamsters, Local 1205, herein called the Union, has charged that Acme Bus Corp., Baumann & Sons Buses, Inc., Brookset Bus Corp., Alert Coach Lines, Inc., a single employer, herein called Respondent, has been engaging in certain unfair labor practices affecting commerce as set forth in the National Labor Relations Act, as amended, 29 U.S.C. Sec. 151 et seq., herein called the Act. Based thereon, the Acting General Counsel, by the undersigned, pursuant to Section 10(b) of the Act and Section 102.15 of the Rules and Regulations of the National Labor Relations Board, herein called the Board, issues this Complaint and Notice of Hearing and alleges as follows:

1. The charge in Case No. 29-CA-30685 was filed by the Union on March 14, 2011, and a copy was served by regular mail upon Respondent on March 30, 2011.

- 2. At all material times, Respondent's component entities are each a domestic corporation. Respondent maintains its principal office and place of business located at 3355 Veterans Memorial Highway, Ronkonkoma, New York, and facilities located in Coram, New York, and Bohemia, New York, where it has been engaged in bus transportation services.
- 3. During the past twelve month period, which period is representative of its annual operations in general, Respondent, in the course and conduct of its business operations described above:
 - (a) derived gross revenues in excess of \$250,000; and,
- (b) purchased and received at its Ronkonkoma facility, goods and materials valued in excess of \$5,000 directly from suppliers located outside the State of New York.
- 4. At all material times, Respondent has been an employer engaged in commerce within the meaning of Section 2(2), (6) and (7) of the Act.
- 5. At all material times, the Union has been a labor organization within the meaning of Section 2(5) of the Act.
- 6. The following employees of Respondent, herein called the Unit, constitute a unit appropriate for the purposes of collective bargaining within the meaning of Section 9(b) of the Act:

All full-time and regular part-time drivers, drivers assistants, mechanics and maintenance employees, employed by the Employer at its facilities located at 1 Grumman Road East, Bethpage, New York; 3040 Veterans Memorial Highway, Bohemia, New York; 65 Court Street, Copiague, New York; 30 West Yaphank Road, Coram New York; 441 Eastern Parkway, East Farmingdale, New York; 24 Railroad Avenue, East Northport, New York; 165 Cantiague Rock Road, Westbury, New York; 859 Old Riverhead Road, Westhampton,

New York; and, the Three Village, New York, but excluding office clerical employees, dispatchers, guards and supervisors as defined in Section 2(11) of the Act.

- 7. On December 1, 2009, the Union was certified as the exclusive collective-bargaining representative of the Unit.
- 8. At all times since December 1, 2009, based on Section 9(a) of the Act, the Union has been the exclusive representative of the Unit.
- 9. On November 1, 2010, in writing, the Union requested that Respondent furnish it with the following information:
 - (a) the complete personnel file of bargaining unit employee, Ray Bishop;
- (b) copies of the most recent bid packages for each bargaining unit driver; and,
- (c) a list of hours worked, and for which bargaining unit employees were paid, since September 2010, broken down weekly by name, classification, rate of pay, date of hire, classification seniority date and facility at which the employee is employed.
- 10. Since on or about November 1, 2010, Respondent has failed and refused to furnish the information requested above in paragraph 9.
- 11. The information requested by the Union, as described in paragraph 9, is necessary for, and relevant to, the Union's performance of its duties as the exclusive collective-bargaining representative of the Unit.

- 12. By the conduct described above in paragraph 10, Respondent has been failing and refusing to bargain collectively with the exclusive collective-bargaining representative of its employees in violation of Section 8(a)(1) and (5) of the Act.
- 13. The unfair labor practices of Respondent, described above, affect commerce within the meaning of Section 2(6) and (7) of the Act.

ANSWER REQUIREMENT

RESPONDENT IS NOTIFIED that, pursuant to Sections 102.20 and 102.21 of the Board's Rules and Regulations, it must file an Answer to the Complaint. The Answer must be received by this office on or before June 17, 2011, or postmarked on or before June 16, 2011. Respondent should file an original and four (4) copies of the Answer with this office and serve a copy of the Answer on each of the other parties. The Answer may not be filed by facsimile transmission. If no Answer is filed, the Board may find, pursuant to a Motion for Default Judgment, that the allegations in the Complaint are true. Any request for extension of time to file an answer must, pursuant to Section 102.111(b) of the Board's Rules and Regulations, be received by the close of business, June 17, 2011. The request should be in writing and addressed to the Regional Director of Region 29.

An Answer may also be filed electronically by using the E-Filing system on the Agency's website. In order to file an Answer electronically, access the Agency's website

at http://www.nlrb.gov, click on E-Gov, then click on the E-Filing link on the pull-down menu. Click on the "File Documents" button under "Regional, Subregional and Resident Offices" and then follow the directions. The responsibility for the receipt and usability of the Answer rests exclusively upon the sender. A failure to timely file the Answer will not be excused on the basis that the transmission could not be accomplished because the Agency's website was off-line or unavailable for some other reason. When an Answer is filed electronically, an original and four paper copies must be sent to this office so that it is received no later than three business days after the date of electronic filing. Service of the Answer on each of the other parties must still be accomplished by means allowed under the Board's Rules and Regulations. The Answer may <a href="https://www.nc.gov.

NOTICE OF HEARING

PLEASE TAKE NOTICE that on July 21, 2011, at 9:30 a.m., at Two

MetroTech Center, Fifth Floor, Brooklyn, New York, and consecutive days thereafter

until concluded, a hearing will be conducted before an Administrative Law Judge of the

National Labor Relations Board. At the hearing, Respondent and any other party to this

proceeding has the right to appear and present testimony regarding the allegations in this

Complaint. The procedures to be followed at the hearing are described in the attached

Form NLRB-4668 (Statement of Standard Procedures in Formal Hearings Held Before

the National Labor Relations Board in Unfair Labor Practice Cases). The procedure to request a postponement of the hearing is described in the attached form NLRB-4338.

Dated at Brooklyn, New York, June 3, 2011.

Alvin Blyer

Regional Director, Region 29
National Labor Relations Board
Two MetroTech Center, Fifth Floor
Brooklyn, New York 11201

SUMMARY OF STANDARD PROCEDURES IN FORMAL HEARINGS HELD BEFORE THE NATIONAL LABOR RELATIONS BOARD IN UNFAIR LABOR PRACTICE PROCEEDINGS PURSUANT TO SECTION 10 OF THE NATIONAL LABOR RELATIONS ACT

The hearing will be conducted by an administrative law judge of the National Labor Relations Board who will preside at the hearing as an independent, impartial finder of the facts and applicable law whose decision in due time will be served on the parties. The offices of the administrative law judges are located in Washington, DC; San Francisco, California; New York, N.Y.; and Atlanta, Georgia.

At the date, hour, and place for which the hearing is set, the administrative law judge, upon the joint request of the parties, will conduct a "prehearing" conference, prior to or shortly after the opening of the hearing, to ensure that the issues are sharp and clearcut; or the administrative law judge may independently conduct such a conference. The administrative law judge will preside at such conference, but may, if the occasion arises, permit the parties to engage in private discussions. The conference will not necessarily be recorded, but it may well be that the labors of the conference will be evinced in the ultimate record, for example, in the form of statements of position, stipulations, and concessions. Except under unusual circumstances, the administrative law judge conducting the prehearing conference will be the one who will conduct the hearing; and it is expected that the formal hearing will commence or be resumed immediately upon completion of the prehearing conference. No prejudice will result to any party unwilling to participate in or make stipulations or concessions during any prehearing conference.

(This is not to be construed as preventing the parties from meeting earlier for similar purposes. To the contrary, the parties are encouraged to meet prior to the time set for hearing in an effort to narrow the issues.)

Parties may be represented by an attorney or other representative and present evidence relevant to the issues. All parties appearing before this hearing who have or whose witnesses have handicaps falling within the provisions of Section 504 of the Rehabilitation Act of 1973, as amended, and 29 C.F.R. 100.603, and who in order to participate in this hearing need appropriate auxiliary aids, as defined in 29 C.F.R. 100.603, should notify the Regional Director as soon as possible and request the necessary assistance.

An official reporter will make the only official transcript of the proceedings, and all citations in briefs and arguments must refer to the official record. The Board will not certify any transcript other than the official transcript for use in any court litigation. Proposed corrections of the transcript should be submitted, either by way of stipulation or motion, to the administrative law judge for approval.

All matter that is spoken in the hearing room while the hearing is in session will be recorded by the official reporter unless the administrative law judge specifically directs off-the-record discussion. In the event that any party wishes to make off-the-record statements, a request to go off the record should be directed to the administrative law judge and not to the official reporter.

Statements of reasons in support of motions and objections should be specific and concise. The administrative law judge will allow an automatic exception to all adverse rulings and, upon appropriate order, an objection and exception will be permitted to stand to an entire line of questioning.

All exhibits offered in evidence shall be in duplicate. Copies of exhibits should be supplied to the administrative law judge and other parties at the time the exhibits are offered in evidence. If a copy of any exhibit is not available at the time the original is received, it will be the responsibility of the party offering such exhibit to submit the copy to the administrative law judge before the close of hearing. In the event such copy is not submitted, and the filing has not been waived by the administrative law judge, any ruling receiving the exhibit may be rescinded and the exhibit rejected.

Any party shall be entitled, on request, to a reasonable period of time at the close of the hearing for oral argument, which shall be included in the transcript of the hearing. In the absence of a request, the administrative law judge may ask for oral argument if, at the close of the hearing, it is believed that such argument would be beneficial to the understanding of the contentions of the parties and the factual issues involved.

In the discretion of the administrative law judge, any party may, on request made before the close of the hearing, file a brief or proposed findings and conclusions, or both, with the administrative law judge who will fix the time for such filing. Any such filing submitted shall be double-spaced on 8½ by 11 inch paper.

Attention of the parties is called to the following requirements laid down in Section 102.42 of the Board's Rules and Regulations, with respect to the procedure to be followed before the proceeding is transferred to the

No request for an extension of time within which to submit briefs or proposed findings to the Board: administrative law judge will be considered unless received by the Chief Administrative Law Judge in Washington, DC (or, in cases under the branch offices in San Francisco, California; New York, New York; and Atlanta, Georgia, the Associate Chief Administrative Law Judge) at least 3 days prior to the expiration of time fixed for the submission of such documents. Notice of request for such extension of time must be served simultaneously on all other parties, and proof of such service furnished to the Chief Administrative Law Judge or the Associate Chief Administrative Law Judge, as the case may be. A quicker response is assured if the moving party secures the positions of the other parties and includes such in the request. All briefs or proposed findings filed with the administrative law judge must be submitted in triplicate, and may be printed or otherwise legibly duplicated with service on the other parties.

In due course the administrative law judge will prepare and file with the Board a decision in this proceeding, and will cause a copy thereof to be served on each of the parties. Upon filing of this decision, the Board will enter an order transferring this case to itself, and will serve copies of that order, setting forth the date of such transfer, on all parties. At that point, the administrative law judge's official connection with the case will cease.

The procedure to be followed before the Board from that point forward, with respect to the filing of exceptions to the administrative law judge's decision, the submission of supporting briefs, requests for oral argument before the Board, and related matters, is set forth in the Board's Rules and Regulations, particularly in Section 102.46 and following sections. A summary of the more pertinent of these provisions will be served on the parties together with the order transferring the case to the Board.

Adjustments or settlements consistent with the policies of the National Labor Relations Act reduce government expenditures and promote amity in labor relations. If adjustment appears possible, the administrative law judge may suggest discussions between the parties or, on request, will afford reasonable opportunity during the hearing for such discussions.

UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD NOTICE

CASE NO. 29-CA-30685

The issuance of the notice of formal hearing in this case does not mean that the matter cannot be disposed of by agreement of the parties. On the contrary, it is the policy of this office to encourage voluntary adjustments. The examiner or attorney assigned to the case will be pleased to receive and to act promptly upon your suggestions or comments to this end. An agreement between the parties, approved by the Regional director, would serve to cancel the hearing

However, unless otherwise specifically ordered, the hearing will be held at the date, hour, and place indicated. Postponements *will not be granted* unless good and sufficient grounds are shown *and* the following requirements are met:

- (1) The request must be in writing. An original and two copies must be filed with the Regional Director when appropriate under 29 CFR 102.16(a) or the Division of Judges when appropriate under 29 CFR 102.16(b).
 - (2) Grounds thereafter must be set forth in detail;
 - (3) Alternative dates for any rescheduled hearing must be given;
 - (4) The positions of all other parties must be ascertained in advance by the requesting party and set forth in the request; *and*
 - (5) Copies must be simultaneously served on all other parties (listed below), and that fact must be noted on the request.

Except under the most extreme conditions, no request for postponement will be granted during the three days immediately preceding the date of the hearing.

Baumann & Sons Buses, Inc. Attn: Ronald Baumann, President 3355 Veterans Memorial Highway Ronkonkoma, NY 11779

Teamsters Local 1205, International Brotherhood of Teamsters Attn: Nelson Nunez, Organizer 246 Conklin Street Farmingdale, NY 11735

Glenn Smith, Esq. Littler Mendelson, P.C. One Newark Center, Fifth Floor Newark, NJ 07102

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